

In case of discrepancies between the French and the English text,
the French text shall prevail

Manuel of electronic transmission for report S 2.16 «Quarterly statistical balance sheet of financial companies»

Contents

| | | |
|-----|---|---|
| 1 | Introduction | 3 |
| 2 | Transmission..... | 4 |
| 2.1 | Attribution of the file name | 4 |
| 2.2 | Means of transmission | 5 |
| 3 | XML scheme for report S 2.16..... | 6 |
| 4 | Identification of the reporter and the <i>declarant</i> | 7 |

1 Introduction

The objective of this manual is to describe the general principles of the reporting in XML format for report S 2.16 «Quarterly statistical balance sheet of financial companies».

Technical characteristics that must be observed for the electronic transmission of report S 2.16 «Quarterly statistical balance sheet of financial companies» are available for downloading on the BCL's website (www.bcl.lu).

The instructions relating to the data collection are described in the documents Instructions and Report for report S 2.16 «Quarterly statistical balance sheet of financial companies».

2 Transmission

2.1 Attribution of the file name

The file name structure is the following:

S0216_L1_aaaamm_Rrrrrrrrr_Dddddddddd_aaaammdd_nnn

where:

- S0216 represents the code of the statistical report S 2.16
- L1 indicates that this version is layout 1 of report S 2.16
- aaaamm the year and the month the data refers to
- R identifies the type of the reporter

The reporter is the entity that submits the data. The letters that should be used are the following:

- B (banks)
- P (Professionals of the financial sector)
- S (Management companies)
- I (Professionals of the financial sector– IT companies)
- DSE (financial company)

All other entities must use the code «5»

- rrrrrrrr allows the identification of the reporter
- The identification numbers are allocated by the CSSF and/or the BCL. The digits on the left are equal to zero.

Example: financial company 999 is identified by 000000999.

- D identifies the type of *declarant*

The *declarant* is the entity whose data are reported. Financial companies must use the value DSE.

- dddddddd allows the identification of the *declarant*.

The financial companies must use the numerical part of their identification number of the “registre de commerce” (number RCS) which is a letter followed by maximal 7 digits. The digits on the left are equal to zero.

- aaaammdd is the creation date of the file
- nnn is the sequential number of the file

The sequential number of the file allows to separately identify files that are created on the same day for the same report. It should be noted that a new sequence must be started each day and that the sequential number starts at 001; thus 000 must not be used.

Example:

S0216_L1_201406_B000000789_DSE0003524_20140720_001.xml corresponds to the first file created on 20 July 2014, submitted by the credit institution 789, where the data refers to the company with the identification number RCS B3524 for the period of June 2014.

2.2 Means of transmission

The BCL accepts the use of the current electronic transmission channels offered by Cetrel and Bourse de Luxembourg. However, the BCL is also willing to accept a new secure transmission channel that is accepted by both, the BCL and the reporting agents.

3 XML scheme for report S 2.16

The XML scheme and a technical documentation are available for downloading on the BCL's website. It is important to know that the design of the XML reporting is based on a tree-structured menu.

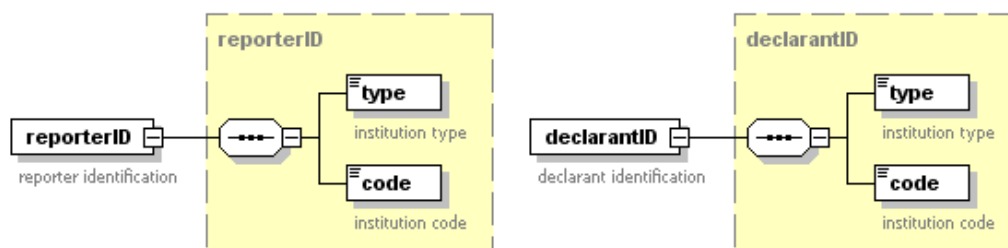
The data to be reported must satisfy the general checks of the format or the classification according to a code list.

The possibilities for the codes also depend on the level of the data in the tree-structured menu.

The scheme contains format constraints for the data, but it does not necessarily specify the validity of a code that respects the format. The nomenclature as well as the rules defined in this manual must be respected.

4 Identification of the reporter and the *declarant*

The identification of the reporter (*reporterId*) and the identification of the *declarant* (*declarantId*) include each the type of the identification number (*type*) and the identification number (*code*).



The association of number types and authorised values are:

| Type | Code | In the filename |
|------|---|-----------------|
| 23 | Identification number allocated by the CSSF to banks | <i>B</i> |
| 30 | Identification number allocated by the CSSF to management companies | <i>S</i> |
| 32 | Identification number allocated by the CSSF to professionals of the financial sector | <i>P</i> |
| 35 | Identification number allocated by the BCL to financial companies | <i>DSE</i> |
| 36 | Identification number allocated by the CSSF to Professionals of the financial sector – IT companies | <i>I</i> |
| 05 | Identification number allocated by the BCL | <i>5</i> |